



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/750,668	12/29/2000	Ali N. Saleh	M-7165-SP US	7626
33031 7590 12/18/2006 CAMPBELL STEPHENSON ASCOLESE, LLP 4807 SPICEWOOD SPRINGS RD. BLDG. 4, SUITE 201 AUSTIN, TX 78759			EXAMINER NGUYEN, HANH N	
			ART UNIT	PAPER NUMBER
			2616	
SHORTENED STATUTORY PERIOD OF RESPONSE		MAIL DATE	DELIVERY MODE	
3 MONTHS		12/18/2006	PAPER	

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

**Office Action Summary**

Application No.

09/750,668

Applicant(s)

SALEH ET AL.

Examiner

Hanh Nguyen

Art Unit

2616

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on Amendment filed on 10/10/06.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-152 is/are pending in the application.
- 4a) Of the above claim(s) 47-112, 148 and 149 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-46 and 113-147, 150-152 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### *Response to Amendment*

The amendment filed on 10/10/06 has been entered. Examiner is confusing the status of the following claims:

In the Remarks indicated by applicant on page 37, of the claims 1-150 which are now pending, claims 47-112, 148, 149 are withdrawn from consideration. However, as seen in the list of currently amended claims filed on 10/10/06, claims 47, 54, 57, 59-61, 73, 81, 86, 89, 91, 94, 105 are pending and currently amended. Claims 148, 149 are amended and its statuses indicated in a bracket as (**Currently amended;Withdrawn**). Are the claims 148, 149 **currently amended** or **withdrawn** ?. Examiner would like to know the status of these claims clearly defined before the making consideration. Examiner still considers claims 47 and 81.

Claims 1-6, 10-30, 34-47, 54, 58, 62, 73, 81, 86, 90, 94, 105, 113-150, 151, 152 have been considered and mood in view of current art.

### *Claim Objections*

Claims 54, 57, 59, 62, 73, 86, 91, 94, 105 are objected to because of the following informalities: claim 54 depends on claim 53 which has been withdrawn; claim 57 depends on claim 56 which has been withdrawn; claim 59 depends on claim 58 which has been withdrawn; claim 62 depends on claim 61 which has been widthdrawn; claim 73 depends on claim 72 which has been withdrawn; claim 86 depends on claim 85 which has been widthdrawn; claim 91 depends on claim 90 which has been withdrawn; claim 94 depends on claim 93 which has been widthdrawn; claim 105 depends on claim 104 which has been withdrawn.

Art Unit: 2616

Examiner assumes that claims 54, 57, 59, 61, 62 and 73 now depend on their parent claim

47. Claim 86 is assumed to depend on claim 81. Claim 91 is assumed to depend on claim 81.

Claim 94 is assumed to depend on claim 81. Claim 105 is assumed to depend on claim 81.

Appropriate correction is required.

***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 3, 31-33, 115 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In claim 31, it is not clearly stated what is meant by “if said intermediary node receives a message of a remote port failure at a node comprising said virtual path” ? . It is not understood to state “a node comprising said virtual path”.

Claims 32, 33 are rejected because they depend on claim 31.

In claims 3 and 115, it is not clearly stated what is meant by analyzing a response to said resource request packet.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-6, 10-30, 34-47, 54, 58, 62, 73, 81, 86, 90, 94, 105, 113-150, 151, 152 are rejected under 35 USC 103(a) as being unpatentable over Bentall et al. (US pat. 6,282,170 B1) in view of Croslin et al. et al. (US pat. 5,737,319).

In claims 1, 47, 81, 113, 146, Bentall et al. discloses a method for restoring a virtual path ( select a restoration route) in an optical network (see abstract and col.6, lines 35-37; col.3, lines 25-30), the method comprising broadcasting a plurality of resource request packets to a plurality of nodes in the optical network ( fig.3, step 100; col.5, lines 48-52; sending messages along alternate routes to determine capacity of each link of the alternate routes through a network); dynamically identifying a plurality of nodes with resources, as a result of said broadcasting, necessary to support the virtual path (see fig.9, col.7, line 60 to col.8, line 25; in response to receiving flood messages, chooser node assigns spare capacity on virtual paths and acknowledges each virtual path with sufficient capacity); identifying an intermediate node without /lacking resources necessary to support the virtual path ( see fig.9, step 144, col.8, lines 20-24; by updating database of alternative routes at the chooser node, the chooser node identifies virtual paths that are lack of spare capacity on the alternate routes. It is inherent that once the VP is lack of capacity, nodes located on the VP are also lack of capacity; see further in col.9, lines 30-35); dynamically determining an alternate physical path comprising ones of the nodes with resources ( fig.4, steps 113 & 114; select one of the alternative routes and allocate capacity on this selected alternative route; see col.5, line 62 to col.6, line 5); configuring the alternate physical path by establishing a communication connection between the nodes with resources ( fig.9; step 142; col.8, lines 15-18; a shortest route with sufficient capacity is acknowledged to the sender); and restoring the virtual path by provisioning the virtual path over said alternate

Art Unit: 2616

physical path (see fig. 11, col. 9, lines 35-45; sender re-routes the virtual path along the selected route when the route acknowledgement is received). Bental discloses

Even though Bental et al. does not explicitly disclose dynamically determining an alternate physical path; but Bental discloses the nodes are interrogated to gather information for possible routes without having to preplan for preferred routes ( see col. 3, lines 8-15). In order to show that dynamically determining an alternate physical path is a well-known skill in the art, Croslin discloses, in its Related Art, col. 1, lines 50-65 and col. 2, lines 40-47, that if a transmission segment suddenly become severed, dynamic network restoration application directs switching elements to select one of alternate transmission segments to replace the severed one, wherein the replaced transmission segment has enough resources to support the network transmission without disconnecting ( dynamically identify nodes and dynamically determining an alternate path with sufficient resource). Therefore, it would have been obvious to one skilled in the art to apply the dynamic network restoration application of Croslin et al. with the network restoration of Bental et al. so that network restoration is performed in real time and dynamically. The motivation is to avoid the dependence of the limited preplanned routes and reduce the time delay.

In claims 151, 152, Bental et al. discloses ascertaining whether the intermediate node without resources lacks a resource necessary to support the virtual path (with the discussion in claim 1 and 113, chooser node updates its database to determine VPs that are lack of capacity).

In claims 2 and 114, Bental et al. discloses detecting a failure in the virtual path ( fig. 4, step 110; col. 5, lines 60-65).

In claims 3 and 115, Bental et al. discloses the detection of the failure is done by

Art Unit: 2616

receiving a failure message packet ( fig.9, step 140, receiving flood messages); dynamically identifying nodes with resources comprises acknowledging the failure message (fig.9, step 142; acknowledging shortest route for each path with sufficient capacity by sending message back to sender. Note that route with sufficient capacity inherently includes nodes coupled therewith);

In claims 4-6, 116 and 117, the limitations of these claims have been addressed in claim 1.

In claims 10, 22, 36, 118, 126 and 137, Bentall et al. discloses restoring the VP ( the restoration functions) is performed by the first node/intermediate node (fig.2; sender node 63), an intermediate node ( chooser node 64; fig.2). See col.6, lines 20-25.

In claims 11, 23, 24, 37, 54, 62, 73, 86, 94, 105, 119, 127 and 138, Bentall et al. discloses in claim 1 that a failure of a route connecting two nodes results in a new selected alternate route inherently changes the failed port associated with the failed route) and update the provisioning in the node data base ( see fig.9, step 143; col.8, lines 20-25). For further clarification, application is directed to fig.5, col.6, lines 10-55 which address the claimed limitations:

the failure is a local physical port port between an intermediate node and an adjacent node; determining a different port of a link (see fig.5, col.6, lines 10-55; routing table in database 74 indicates which link is used by an appropriate VP); initiating a physical port switch request ( switching function 71 refers to routing table to pass communication between links); provisioning the VP to the different physical port ( reroute to alternative route would inherently switch to another physical port).

In claims 34, 45, 135, 144, Bental et al. discloses if said intermediary node receives a valid restore path request, updating path information in a node database ( fig.9, step 143),

Art Unit: 2616

allocating resources requested for said virtual path ( fig.9, step 142), and forwarding said restore path request to all eligible adjacent nodes ( fig.9, step 144).

In claims 35, 46, 136 and 145, Bental et al. discloses if the intermediary node receives an invalid restore path request, responding with a negative acknowledgment ( fig.9, step 144; means that a node uses all resources to restore a failed VP until all VPs are block. Inherently, when VPs are block due to insufficient resources, a Negative acknowledgement should be received; see col.8, lines 20-25).

In claims 12, 13, 14, 15, 16, 17, 18, 19, 26, 38, 39, 58, 90, 120, 121, 122, 123, 124, 125, 128, 129, 139 and 140, the limitations of these claims have been addressed in claim 1 because as the original VP is failed, it is most likely that the alternative routes is required with previous steps performed in independent claims 1, 47, 891 and 113. When an alternative routes in Bental is setup with sufficient capacity, it is inherent that the alternative routes has to be routed via different links having different ports (See col.8, lines 27-35; any new VPs to be setup during the duration of the link failure would be rerouted to avoid the failed part). Bental et al. discloses the alarm raised to commence restoration ( see fig.8). It is a well-known skill in to art to raise alarm periodically between a first time period , a second time period depend on how bad the traffic conditions. Motivation would be prevent critical network failures.

In claim 25, Bental has discloses changing a state of VP to down (fig.8, lines 25-35; an alarm is raised indicating a link is failed inherently shows that the VP is down); generating a restoration request ( see fig.9, step 140; flood messages), forwarding restoration to adjacent nodes (see fig.9; step 141); waiting for a response to the restoration request for a predetermined of time ( fig.10, step 155; tandem node awaits acknowledgments to the flood messages).



In claims 30, 43, 44, 131, 132, 134, 142 and 143, the limitations of these claims have been addressed in claim 1.

In claims 15, 16, 20, 21, 28, 29, 41 and 42, Bental et al. does not disclose the first and the second predetermined time intervals are defined during provisioning of VP. Predetermining time intervals to restore failed VP is well-known in the art for purpose of detecting whether there is any available resources allocated for VP.

In claims 27 and 40, Bental et al. does not disclose if the response to the restoration request is not received within the predefined threshold times, releasing resources of VP. Inherently, the requested resource will be released after a predefined time has elapsed since the restoration request was sent. Therefore, it would have been obvious to set a predefined time to determine whether the resource for the VP.

In claims 147-150, with the limitations that have been discussed in claims 1 and 113, Bental et al. further discloses receiving an allocation request at an intermediate node (see fig.10, step 149; tandem node receiving flood message from sender); if said resource necessary to support said virtual path is available on said intermediate node, allocating said resource necessary to support said virtual path on said intermediate node (see fig. 10, step 153; tandem nodes reserves spare capacity for restoration process); forwarding said allocation request from said tandem node ( see fig.10, step 154, tandem node broadcasts message on all links) ( see col.8, line 65 to col.9, line 27), and, if said resource necessary to support said virtual path is unavailable on said intermediate node, returning a negative response from said intermediate node ( see col.9, lines 30-35; if there is no capacity to be assigned, the tandem node will pass the message to the chooser node to reflect that there is a lack of spare capacity).

Art Unit: 2616

Claims 7-9 are rejected under 35 USC 103(a) as being unpatentable over Bentall et al. (US pat. 6,282,170 B1) in view of Croslin et al. et al. (US pat. 5,737,319), and further in view of Chaudhuri (6,324,162B1).

In claim 7-9, Bental et al. does not disclose restoring of said virtual path is completed in less than 2 seconds; less than 250ms; and less than 50ms. Chaudhuri discloses the time required to determine restoration channel is 20-30 ms. Therefore, it would have been obvious to one ordinary skilled in the art to restore the failed VP in less than 2 seconds, 250ms, or 50 ms as needed in design system.

### ***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

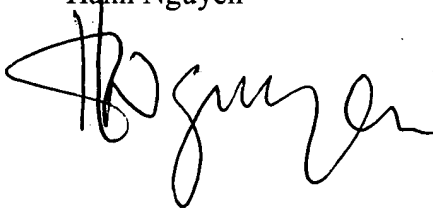
A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hanh Nguyen whose telephone number is 571 272 3092. The examiner can normally be reached on Monday-Thursday from 8:30 to 4:30. The examiner can also be reached on alternate.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lynn Field, can be reached on 571 272 2092. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Hanh Nguyen

A handwritten signature in black ink, appearing to read 'H. Nguyen', with a stylized, cursive script.

**HANH NGUYEN  
PRIMARY EXAMINER**